

Cerebellar Control of Robot Arms

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Decades of research into the structure and function of the cerebellum have led to a clear understanding of many of its cells, as well as how learning takes place. Furthermore, there are many theories on what signals the cerebellum operates on, and how it works in concert with other parts of the nervous system. Nevertheless, the application of computational cerebellar models to the control of robot dynamics remains in its infant state. To date, a few applications have been realized, but limited to the control of traditional robot structures which, strictly speaking, do not require adaptive control for the tasks that are performed since their dynamic structures are relatively simple. The currently emerging family of light-weight robots (Hirzinger, G. (1996) In Proceedings of the 2nd International Conference on Advanced Robotics, Intelligent Automation, and Active Systems, Vienna, Austria) poses a new challenge to robot control: owing to their complex dynamics, traditional methods, depending on a full analysis of the dynamics of the system, are no longer applicable since the joints influence each other's dynamics during movement. Can artificial cerebellar models compete here? In this paper, we present a succinct introduction of the cerebellum, and discuss where it could be applied to tackle problems in robotics. Without conclusively answering the above question, an overview of several applications of cerebellar models to robot control is given.

KEYWORDS: Cerebellum, robot dynamics, robot arm control, computational cerebellar models, neural networks.

1. Introduction

Recent successes in robotics have increased the field of application and acceptance of robots. Nevertheless, robotics still has a long way to go. The applicability of industrial robots remains limited to factory floors. Research lab robotics is increasingly moving towards novel actuators for constructing light-weight, compliant robot arms. For this we require actuators consisting of agonist–antagonist drive pairs or miniature motors with high-ratio gear boxes for maintaining accurate positioning without recalibration, as well as for controlling the stiffness of a joint. However, when multiples of such compliant joints are used to construct a robot arm, known methods can only control the robot arm via low-speed, inaccurate control using (approximated) inverse Jacobians of the robot arm. To date, no generally applicable methods exist which can control the dynamics of any robot arm to follow a given trajectory in joint space. Another unsolved problem is calibration and recalibration; owing to wear and tear, model assumptions of a robot may become increasingly

imprecise during operation, leading to imprecise and unstable control when no adaptive methods are employed.

The clear success that the cerebellum has achieved in controlling biological skeletomuscular structures¹ is in no way matched by artificial neural or other adaptive control algorithms since, to date, the complexity of the mapping that has to be computed seems to be prohibitive. One outstanding problem is that, when controlling the trajectory of a joint, at least the position, θ , velocity, $\dot{\theta}$, and acceleration, $\ddot{\theta}$, of all connected joints influence that trajectory. This means that each joint is controlled depending on at least $3n$ variables (where $n \geq 6$ is the number of degrees of freedom (DOF) of the robot arm). With highly compliant robot arms, the relationship between the $3n$ variables is very complex and highly non-linear, and therefore very hard to learn. Furthermore, time delays in the control loop introduce additional complexity. The approach of using recurrent networks which are structurally capable of computing internal representations of θ and $\dot{\theta}$ has not yet been successful in solving more than simple problems.

Starting from Albus's model (1975), an adapted version of which has been successfully used by, for example, Miller (1989) and Miller and Kun (1997) for control of a five-DOF robot arm as well as biped control, or Lang (1997) for six-DOF robot arm control, neurocomputational models of the cerebellum have been advocated as possible candidates for control of the dynamics of complex robot systems. However, apart from these implementations there have been very few applications of cerebellar models to the control of real robot manipulators in realistic tasks using real robots. On the one hand, cerebellar models have become more refined through specialized investigations based on details of the biological cerebellar system, while insufficient attention has been given to applicability of these refinements in robotics. On the other hand, to keep the increasingly complex robot structures under control, robotics has moved towards the use of established methodologies which rely on as much model knowledge as possible, thus losing flexibility in applications.

It seems to be a good time to use cerebellar modeling as a test case for investigating the prospects for an amalgamation of the fields of robotics and neurocomputational modeling, and raise the question of how neurocomputational models might be incorporated as a standard part of robotics methodologies. How reasonable is the desire for such an amalgamation? What prerequisites are there for having cerebellar models compete successfully with alternative approaches to control of real robots?

This paper follows the 1997 NIPS workshop initiated by van der Smagt and Bullock (1997). Section 2 describes the anatomy of the cerebellum. In Section 3 the robotics side is investigated: Where can artificial cerebellar models help us in robot control? A number of computational cerebellar models are introduced in Section 4, starting off with the well-known CMAC model, followed by recent developments in cerebellar theory, and discussing where these developments can be used in robot control. A discussion is given in Section 5.

2. The Function of the Cerebellum

The controversies that were touched upon at the NIPS workshop clearly reflected the current-day understanding we have of various parts of the cerebellum: many conflicting theories exist, none of which have been verified beyond doubt. Although, as we shall show in this section, detailed knowledge of the structure of the

cerebellum is available, there is no definitive understanding of how the cerebellum as a whole interacts with the cerebrum and the brain stem. Or, as De Schutter (1996) put it, the function of the cerebellum remains a mystery.

2.1. History

Investigation of the cerebellum started in ancient times, as the Greek surgeon Galen of Pergamum (AD129–216/217), working as a gladiator physician in Pergamum (currently Izmir) and Rome, suspected that the cerebellum is the part of the brain responsible for muscle control. Although the realization that the brain consists of communicating cells was postulated as early as the 4th century AD by Nemesius of Emesa (building on Galen's work in his compendium *Peri physeos anthropou* (On the nature of Man)) and Saint Augustine (AD354–430), detailed insight into the brain was not available until experimental science became accepted practice. An important forerunner in this aspect was Andreas Vesalius of Brussels (1514–1564), who was the first person to investigate critically Galenic theory. Through his dissections and anatomical studies he initiated modern physiological science (Figure 1).

The understanding that the brain is controlled by electrical pulses emerged in the 18th century, when Benjamin Franklin published his *Experiments and Observations on Electricity* (1774). In his 1791 essay *De Viribus Electricitatis in Motu Musculari Commentarius* (Commentary on the effect of electricity on muscular motion), Luigi Galvani (1737–1798) subsequently showed that frog muscles contract when excited with electricity, and suspected that these electric pulses were generated by the brain. This assumption was later verified by Emil du Bois-Reymond (1818–1896) around 1850, when instruments were sensitive enough to measure neuron pulses. Bell (1811) proposed that motor fibers originate from the cerebellum; an assumption that was later verified by Flourens (1824). In *The Cerebellum as a Neuronal Machine*, Eccles *et al.* (1967) described a detailed model of the cerebellum, which has been accepted since then and has served as a basis of many subsequent computational models.

2.2. The Structure of the Cerebellum

All motion commands that originate in the cerebrum pass through the cerebellum (Figure 2). The cerebellum subsequently provides timing control of opposing muscles, and force as well as stiffness control.

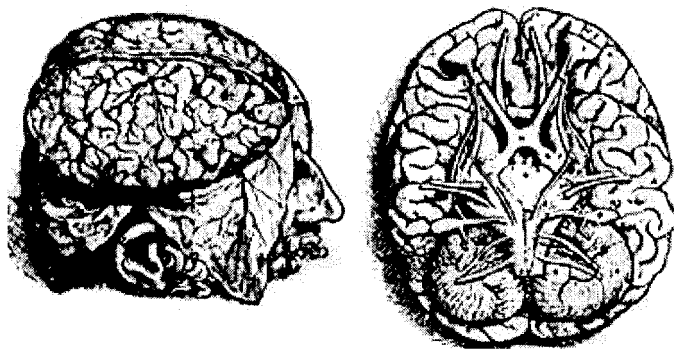


Figure 1. Vesalius' drawings of the brain.

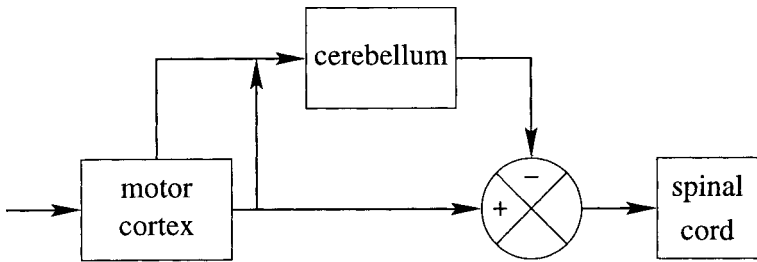


Figure 2. Motor paths in the brain.

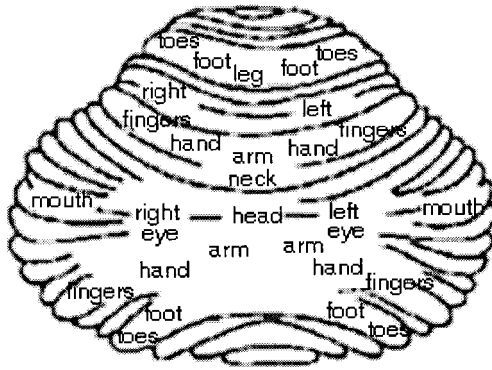


Figure 3. Microzones in the cerebellum.

The cerebellar cortex is divided in three layers: the outer synaptic layer (also called molecular layer), the Purkinje layer and the inner receptive layer (the granular layer). The cortex appears to be organized into microzones (Figure 3); each of these microzones contains the parameters for a certain movement.

The human cerebellum (see Figure 4) consists of about 10 million Purkinje

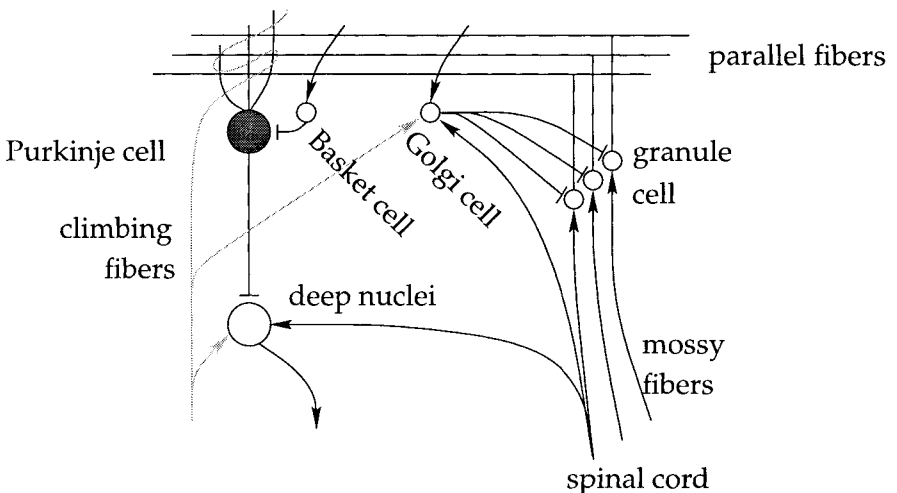


Figure 4. Structure of the cerebellum. An arrow indicates an excitatory connection, a termination (⊣) an inhibitory connection.

cells (pc), each receiving about 150 000 excitatory synapses via the parallel fibers (pf). The pf are the axons of the granule cells; these cells are excited by the mossy fibers (mf) originating from the spinal cord. Each pf synapses on about 200 pc. A pc receives further excitatory synapses from one single climbing fiber (cf); this can fire a cell when active. Basket cells, being activated by pf afferents, can inhibit a pc. Finally, Golgi cells receive input from pf, mf and cf. They inhibit granule cells.

The granule cells operate as pattern separators. The densely 'coded' patterns, originating from the spinal cord, have to be 'preprocessed' by the granule cells, such that the imprecise giant pc can discriminate them. The output of a pc is an inhibitory signal to the cerebellar nuclei.

Mechanistic models of the cerebellum did not appear until the paper by Braitenberg and Atwood (1958). Braitenberg (1961) primarily interpreted the cerebellum as a timing organ; in his theory, the pf function as delay lines.

Influenced by Eccles *et al.* (1967), two other early models by Marr (1969) and Albus (1971) view the cerebellum as a learning pattern recognition system. Their more detailed models, as well as a subsequent computational model by Albus (1975), have contributed to a wide acceptance of the pattern recognition theory.

2.3. Learning

The major contribution of the papers by Braitenberg, Marr and Albus was an encompassing theory of how learning in the cerebellum takes place. We know that the cerebellum learns sequences of voluntary movements as well as motor programs,² but also adapts to external influences. Fortunately, the regular structure of the cerebellum has aided in a good insight in how learning takes place. The key issue is that learning is context-driven. In Marr's theory, pf synapses on pc are strengthened when simultaneous activity of the cf occurs. Thus, memory traces are stored at the pf synapses. Albus later suggested that the cerebellum functions as an adaptive pattern classifier, where the pf synapses become weaker; the cf signal is thus interpreted as an error signal. This hypothesis is known as the Marr–Albus theory.

This adaptation of the pf synapses is called long-term depression (LTD). The next time that the same pattern of pf appears the pc will not fire and thus not inhibit its corresponding deep nucleus. Verification of LTD at the pf synapses followed in the early 1980s, by Ito *et al.* (1982), who demonstrated that, in rabbit cerebellum, simultaneous stimulation of pf and cf results in LTD of the pf/pc synapses. Learning through LTD occurs in many places of the brain, and is usually accompanied by the opposite process, called long-term potentiation (LTP) (Bliss & Collingridge, 1993).

Although Artola and Singer (1993) concluded that LTD learning in the cerebellum distinguishes itself through the absence of LTP, this conclusion is not generally accepted (Kano, 1996). It should be noted that LTD cannot be the only learning mechanism for the pc/pf synapses, since they would otherwise eventually be driven to zero responsiveness.

Later investigations resulted in a more complex picture. When lacking a metabotropic glutamate receptor subtype, LTD is absent in mice. It was shown recently (Aiba *et al.*, 1994) that such mice, when trained on the eye blink reflex,³ learn as normal animals in their first 3 days of training. During the following days, however, the mice learn significantly less than normal mice. This effect cannot be explained using the Marr–Albus theory.

Another controversy concerns the vestibulo-ocular reflex (VOR). The function of this reflex is to stabilize an image on the retina while the head is subject to rotational or translational movements. Lesions in the flocculus, the oldest part of the cerebellum, prevent learning of the VOR, and Ito (1984) proposed the flocculus to be an example of the Marr–Albus theory. Later experiments, however (Lisberger, 1994; Lisberger *et al.*, 1994a, b), show that the same pc can change its activity in the same as well as in the opposed direction of the VOR gain. Again, this phenomenon cannot be explained with the Marr–Albus theory.

Third, there are conflicting reports on whether the cf signal is an error signal; other properties of the cf signals are also not explained by the Marr–Albus theory (De Schutter, 1997). Even the function of the cf signal is a mystery (Simpson *et al.*, 1996): while some researchers assume that the cf signal leads to LTD at the pc/pf synapses, others conclude that it leads to short-term enhanced responsiveness at the pc/mf synapses. Also, the cf has been proposed to serve as internal timing signal.

Related to this problem is the credit assignment problem (Houk *et al.*, 1996). When an external error signal is present, how is this distributed to the responsible nodes (pc)?

2.4. *Timing Function of the Cerebellum*

One of the important omissions in the pattern matching models is the timing behavior of the cerebellum (Bullock, 1997). For instance, cerebellar lesions result in a loss of precise timing control over motor control actions, as well as in the activities of neurons in the frontal cortex (Hore & Flament, 1988). Timing in muscle control is important, since muscles always come in pairs or more complex groups. Furthermore, the motor control system suffers from considerable time delays, which have to be taken into account in any control method that is used (see Section 3.1.4).

Many cerebellar models have been augmented by feeding them with motor inputs as well as their derivatives; however, this alone does not suffice to explain the timing behavior. A counterexample is the eye-blink reflex, which has been shown to be solved by the cerebellum. This experiment shows that the cerebellum can learn delays in the range 100 ms–4 s.

Bullock (1997) summarizes the following important features of the cerebellum, which should be matched by a computational cerebellar model:

- the microzones in the cerebellum are defined by shared cf projections and convergence of pc axons on nuclear cells;
- the granule cells detect combinations of events;
- the burst rate of the cf reflects the error compensating action in the control channel for which the microzone serves as a loop;
- the cerebellum performs a parallel search through signals for indicators that can be used to reduce errors;
- the cerebellum allows switching from reactive to proactive control, and attempts to minimize feedback control;
- the cerebellum can generate sequences actions that lie in the range 100 ms–4 s.

2.5. *Is a Cerebellum Necessary?*

There is a popular belief that patients with cerebellar agenesis eventually gain normal motor control, even when the cerebellum is totally absent. However, a

careful analysis of the literature (Glickstein, 1994) reveals that recorded case histories of patients with cerebellar agenesis always show retarded and deficient walking, speech and other motor functionality. Furthermore, diminished intellectual capabilities have been observed.

On the other hand, nature also shows that adaptive motor control is possible without a cerebellum. As Nelson (1997) argues, insects solve complex motor control problems without a cerebellar structure. The insect motor control system consists of four groups: sensor afferents, spiking interneurons, non-spiking interneurons and motor neurons. The spiking interneurons, which are topographically arranged, receive sensor information. The precise function of non-spiking interneurons is not known; they have multimodal receptive fields and react to sensor signals from different modalities in the same behavioral context. They control groups of leg motor neurons during walking, and can therefore be compared to the vertebrate cerebellum at a functional level. Nelson (1997) demonstrates this theory with an insect-like walking hexapod.

3. Problems in Robot Control

We consider a robot arm as a machine consisting of three separate parts. First, the arm itself is a mechanical structure consisting of a number of links (arm segments) which are connected by joints. The joints, which are usually rotational instead of sliding for various reasons, are driven by motors. The result of a robot control algorithm (be it neural network (NN)-based or using a traditional technique) often is the position and orientation ($\chi[t]$, $\phi[t]$) of the end-effector with respect to the robot's base.

The second part of a robot arm consists of the electronic hardware to control and drive the robot. This part consists of one or more processors, D/A and A/D converters, power amplifiers, etc. The third part is given by the software to control the robot arm. In order to simplify the control problem, this control is usually multistage. First, most tasks are defined in terms of the desired position and orientation of the end-effector. In Cartesian coordinates, the desired position is specified as a trajectory ($\chi_d[t]$, $\phi[t]$). Naturally, a specification in sensor space (van der Smagt, 1995) is also a likely possibility; this depends on the task that is performed.

The task for the robot control software is now two-fold. First, a desired end-effector trajectory must be translated to a trajectory $\theta[t]$ in joint space. This transformation is called the inverse kinematics. When this transformation is underdetermined, additional constraints, such as the avoidance of undesired configurations of the arm to prevent collision with obstacles, but also dynamic constraints, have to be taken into account to find a unique solution (see, for example, DeMers and Kreutz-Delgado (1997) for a good overview of traditional and neural approaches to solve this problem).

In the second stage, the desired trajectory in joint space has to be translated to forces (torques τ or even motor commands) which are applied to the joints: dynamic control, also known as joint servo-control or simply tracking. In this stage, physical limitations have to be taken into account.

It is this second problem which we want to discuss in this paper. From Section 2 it is clear that the applicability of cerebellar models to robot control problems can be found in the improvement of adaptive dynamic control methods. But where are such adaptive methods required? In this section we will discuss the dynamics

of a general robot arm, and give an example where classical methods are insufficient to control stably a robot arm.

3.1. *A Taxonomy of Robot Dynamics Problems*

The dynamics of a robot arm can be written as

$$\tau = M(\theta)\dot{\theta} + C(\theta) [\dot{\theta}\theta] + D(\theta) [\dot{\theta}^2] + F(\theta, \dot{\theta}) + G(\theta) \quad (1)$$

where τ is a k -vector of torques exerted by the links, and θ , $\dot{\theta}$ and $\ddot{\theta}$ are k -vectors denoting the positions, velocities and accelerations of the k joints. $[\dot{\theta}\theta]$ and $[\dot{\theta}^2]$ are vectors

$$[\dot{\theta}\theta] = [\dot{\theta}_1\dot{\theta}_2, \dot{\theta}_1\dot{\theta}_3, \dots, \dot{\theta}_{k-1}\dot{\theta}_k]^T \quad (2)$$

$$[\dot{\theta}^2] = [\dot{\theta}_1^2, \dot{\theta}_2^2, \dots, \dot{\theta}_k^2] \quad (3)$$

$M(\theta)$ is the matrix of inertia (the mass matrix), $C(\theta)$ is the matrix of Coriolis coefficients, $D(\theta)$ is the matrix of centrifugal coefficients, $F(\theta, \dot{\theta})$ is a friction term and $G(\theta)$ is the gravity working on the joints.

The dynamics of a robot arm are influenced by the following parts:

- (1) *The actuators*: A tendency exists towards using DC motors or step motors for generating the required force; however, pneumatic artificial muscles have also received considerable attention. The dynamic behavior of an actuator is an important part of the robot arm dynamics.
- (2) *The connection between the actuators and the links* (e.g. gear boxes): With a tendency towards light-weight robot arms, for DC or step motor-based robot arms it is customary to use high-ratio gear boxes such that the motors used can be kept small and light. On the down side, however, is a considerable elasticity, such that both the rotation at the motor side and at the link side must be measured. Direct drive robots are also under consideration; yet, the motors have a very low force-to-weight ratio, and are therefore not suitable for light-weight robots.
- (3) *The links*: Finally, the dynamics of the construction has to be taken into account. Very light-weight structures may be flexible, leading to a very complex control scheme. It is customary to construct a robot arm thus, such that this part can be neglected.

3.1.1. *The rigid body assumption.* The simplest kind of robot arm consists of rigid links which are connected by rigid joints. This assumption is approximately true for industrial robots; the construction of the robot arm is thus that any deformation of links and joints can be neglected. Even current-day research robots are constructed with this principle in mind; although materials are light-weight, they are supposed to be strong enough not to be flexible, even when payloads are carried. In this case adaptive control is done by linearization of the control equation. Equation (1) is simplified in order to obtain:

$$\tau = Y(\theta, \dot{\theta}, \ddot{\theta}, \hat{\theta}_r)w \quad (4)$$

where w are the parameters which are estimated.

When the actuators used are strong enough, the diagonal elements of the mass matrix M and the centrifugal matrix D are prevalent, while C is approximately zero. Furthermore, all matrices are constant, i.e. independent of θ and its deriva-

tives. These simplifications result in $\tau_i = m_i \ddot{\theta} + d_i \dot{\theta}^2 + f_i$, where i is the joint number; thus joints can be independently controlled.

In a light-weight robot arm actuators are used for which the above simplifications no longer hold; the motors are simply not powerful enough such that gravity and other physical influences can be ignored. This means that, apart from having to take the full matrices M , C and D into account, these and the F and G matrices are parameterized by the joint positions and velocities; equation (1) cannot be simplified anymore.

3.1.2. Flexible links. There is little research being done on robot arms with flexible links. Some exceptions are the research groups of A. Goldenberg (U. Toronto), J.-J. Slotine (MIT) and M. Spong (UIUC). So far all research in this direction has been restricted to two-link robot arms. The general approach here is to attach extra acceleration sensors on the links, and use their signals to correct for their flexibility.

3.1.3. Flexible joints. A somewhat simpler control problem exists with flexible joints. This case is, in fact, very common when high-ratio gear boxes are used.

In the case of elasticity at joint level, an actuator can be modeled as a motor and an arm segment, connected by a spring. The properties of the spring can only be measured when there are joint angle sensors at both the motor (measuring θ_m) and the arm segment (measuring θ_l) side of the spring. Equation (1) changes as follows:

$$\tau_l = M(\theta_l) \ddot{\theta}_l + C(\theta_l) [\dot{\theta}_l \dot{\theta}_l] + D(\theta_l) [\dot{\theta}_l^2] + F(\theta_l, \dot{\theta}_l) + G(\theta_l) \quad (5)$$

$$\tau_m = \mathcal{J}(\dot{\theta}_m) \ddot{\theta}_m + \tau_l \quad (6)$$

where τ_m is the torque at the motor side and $\tau_l \equiv k(\theta_m - \theta_l)$ the torque at the link side. \mathcal{J} can generally be assumed to be a diagonal matrix.

3.1.4. Time delays. A final important problem in joint servo-control is taking account of delays. Owing to the fact that, in a feedback control loop, delays are present in the digital controllers as well in their communication, predictive control is required to obtain stable tracking. There are two problems that have to be considered:

- (1) *Feedforward delays in the robot:* When the robot is given a new joint position, delays result due to the joint-interpolated trajectory planning, joint position readout and communication. These factors usually add up to a delay in the range 5–200 ms.
- (2) *Feedback delays in the communication:* When the current joint position of the robot is probed, a communication delay between the various components of the controller will ensue. This kind of delay is usually negligible.

Any joint servo-controller has to take these delays into account, otherwise unstable control will result.

3.2. An Exemplar Difficult Joint Structure

The McKibben pneumatic artificial muscle (Chou & Hannaford, 1996) as used in the Bridgestone SoftArm robot, has typical problematic control properties (van der

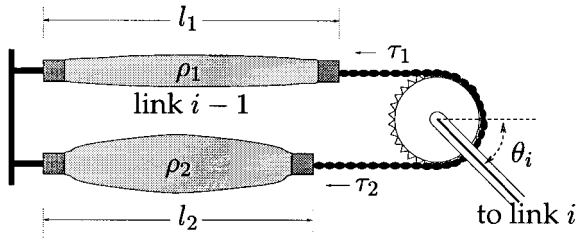


Figure 5. An agonist and an antagonist rubbertuator are connected via a chain across a sprocket; their relative lengths determine the joint position θ_i .

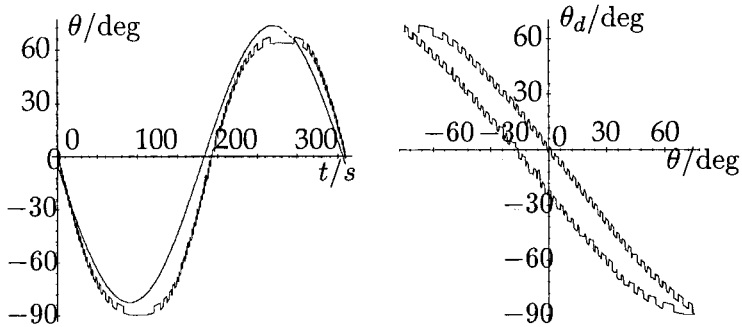


Figure 6. Using the internal PD controller to follow the trajectory $\theta_d(t) = c \sin(t)$. The left figure shows the desired and realized trajectories vs t ; the right figure depicts the desired (horizontal axis) vs the realized (vertical axis) trajectory.

Smagt *et al.*, 1996). The use of two artificial muscles in the construction of a joint is depicted in Figure 5. The sprocket construction, combined with the properties of the artificial muscles, leads to a hysteretic non-linear pressure–position relationship.

Naturally, a proportional derivative (PD) controller cannot control such a robot. A test is shown in Figure 6. In this figure, a single joint of the robot, controlled via a PD controller, follows a simple trajectory in joint space.

3.2.1. *Using adaptive control.* An obvious way out of the above problems is by learning. If we presume that samples of the desired input–output behavior can be recorded, and we assume we have a black box which can learn every function $\mathcal{F}: \mathcal{R}^m \rightarrow \mathcal{R}^n$ fast enough, then any of the above robot architectures can be optimally controlled.

Example: Figure 7 shows the pneumatic artificial muscle experiment using a self-learning controller based on fast learning feedforward networks. The result looks encouraging; after a relatively short time of learning, the desired trajectory can be followed. The methodology has been shown to generalize well to other trajectories (van der Smagt *et al.*, 1996). Unfortunately, this methodology cannot be very well generalized to more dimensions. For the single joint system, a 7D input space is used: the pressure of one artificial muscle, the trajectory and the desired trajectory. For a six-DOF robot this would result in a 42D input vector. Knowing that the function that must be approximated is highly non-linear, it is clear that this approach is not feasible. First, it is very difficult to gather sufficient

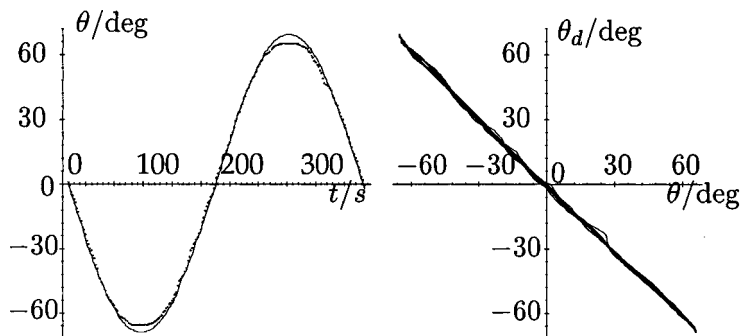


Figure 7. Using the NN controller to follow the trajectory $\theta_a(t) = c \sin(t)$. The left figure shows the desired (solid line) and realized (dotted line) trajectories vs t ; the right figure depicts the desired (horizontal axis) vs the realized (vertical axis) trajectory. This behavior is recorded after 5 minutes of learning.

training data for a mapping from $\mathcal{R}^{42} \rightarrow \mathcal{R}^6$. Second, a general approximation method will not be able to learn this mapping with sufficient accuracy in time (van der Smagt, 1994).

3.2.2. Are improvements possible? The method can be improved upon in various ways. First, there is a substantial body of literature frequently updated with methods towards improved approximation of high-dimensional functions (e.g. Braake *et al.*, 1998; van der Smagt *et al.* 1994; van der Smagt & Hirzinger, 1998; Wang & Principe, 1997). These approaches are more than ‘personal flavors of back-propagation’; they iterate towards an increasingly good understanding of the representation of high-dimensional surfaces from randomly distributed samples. Put together with the constantly improving computing power, the realm of high-dimensional surfaces which can be accurately and successfully approximated increases steadily.

A second possible improvement is to use recurrent networks which are structurally capable of computing internal representations of time derivatives of the signals. For the above example, this would reduce the input dimensionality from 42 to 18. The dimension reduction, which is otherwise computed by the feedforward network, no longer has to be performed, resulting at least in a reduction of the input space; possibly also of the network parameter dimensionality.

Yet, in spite of these successes and possible improvements, the larger challenge lies at the third possible improvement: using an adaptive structure which specializes in the control problem at hand. This path has been followed by several researchers; as we shall see in the following section, however, more often than not applications of such controllers are restricted to the control of simulated two-link robot arms.

4. Computational Models of the Cerebellum

Since the development of the cerebellar model articulation controller (CMAC) model, many other cerebellar models have been developed, some of which have been used in robot control. A primary reason for this further development has been a better understanding of the structure of the cerebellum, and how it interacts with other parts of the brain.

Unfortunately, many of these recent developments are not understood well enough to be applicable in a simulated cerebellum. In some cases, however, the applicability of the model is taken into account, and new computational models have been used successfully. We will discuss three mainstream models in this section to some extent, and mention various implementations and applications of such models.

4.1. Model I: The CMAC

One of the earliest computational models of the cerebellum is the CMAC, introduced by Albus (1975). The CMAC has subsequently been used in various adaptive robot control tasks by Miller (1989), Miller and Kun (1997), Lang (1997), and other authors, and has thus received extensive attention; also, its extensive mathematical analysis (Miller *et al.*, 1990) has furthered its acceptance.

The original idea of CMAC is based on the BOXES approach by Michie and Chambers (1968). This approach basically implements a table look-up process. Of course the major problem with straightforward table look-up is that during ‘learning’ no generalization takes place. In each cell a value must be stored separately, and the whole input–output relationship is only known when all input combinations are learned. This may take prohibitively long, especially for applications such as robot control where the input has a relatively high dimensionality. The CMAC solves this problem.

The basic architecture of the CMAC (in the implementation of Miller *et al.* (1990)) is depicted in Figure 8. The first important feature of the CMAC is the discretization of the input signals through the input sensors. Each signal activates a number of input sensors (four in the figure). The input sensors are connected to the state space detectors in a regular fashion; these detectors are AND units and therefore only switch on when all their inputs are active. The state space detectors are connected to the multiple field detectors via random hashing. These detectors

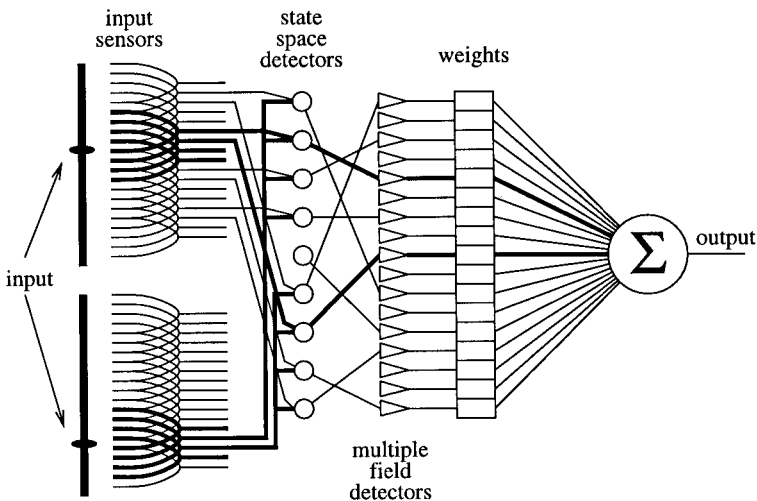


Figure 8. The CMAC model of the cerebellum.

compute the OR function. Finally, the weighted outputs of the multiple field detectors are summed together, constituting the output of the CMAC.

The input sensors, together with the state space detectors, implement the functionality of granule cells in the cerebellum. The multiple field detectors with their weighted output mimic a pc.

Learning takes place by modifying the weights according to a delta rule. Owing to the fact that the network output is linearly related to the weights, learning a certain pattern is instantaneous, and no iterative procedure is required.

A recent improvement is the smoothed CMAC function (Kraft, 1997). This CMAC has the advantage that, due to smoothing, the gradient of the network output can be obtained accurately, which is useful for robot dynamics control.

Albus's CMAC is clearly only a crude approximation of the cerebellum. For instance, an important omission in the model is the fact that it ignores the inhibitory output of the pc. The CMAC has often been considered to be a function approximation model only, and can be compared with other general function approximation models (such as feedforward networks and self-organizing feature maps).

4.2. Model II: The Adjustable Pattern Generator

The adjustable pattern generator (APG) model was introduced by Houk and colleagues (Barto *et al.*, 1995; Houk, 1989; Houk *et al.*, 1990). The term 'APG' was coined since the model can generate a burst command with adjustable intensity and duration.

In the APG a nucleus cell, connected to a motor cell in a feedback circuit, is inhibited by a group of pc. The structure of the cerebellum mostly matches the structure described in Section 2.2, and includes basket cells, cf, Golgi cells, granule cells, mf, pf and the stellate cells. Each motor cell is connected to the nucleus cell in its own APG, but also to nucleus cells in neighboring APGs. The structure of the network is shown in Figure 9.

In this model, a basket cell spike causes the pc to change its state. The motor neuron/nucleus cell loop is no longer inhibited and a feedforward motion can begin. Subsequent firing patterns of the pc influence the firing of the motor neurons, and therefore the planned movement.

Applications of this model are currently restricted to simulated two-link robot arms (Fagg *et al.*, 1997b).

4.3. Model III: Internal Models of the Inverse Dynamics

Kawato (Kawato & Gomi, 1992) has long argued that internal models of the proprioskeleto-muscular structure, as well as one's environment, are a necessity for optimal control. An implementation of a system which learns its own internal models (*viz.* the feedback-error-learning model) was applied to control of the SoftArm robot described in Section 3.2 (Katayama & Kawato, 1991) as well as other robotic tasks.

Kawato (1997) defends his assumption as follows:

- (1) *Why are internal models necessary for visual-motor coordination?* The major reason for this is that the low stiffness of the human arm during multi-joint movement suggests the existence of an inverse dynamics model of the arm. Furthermore,

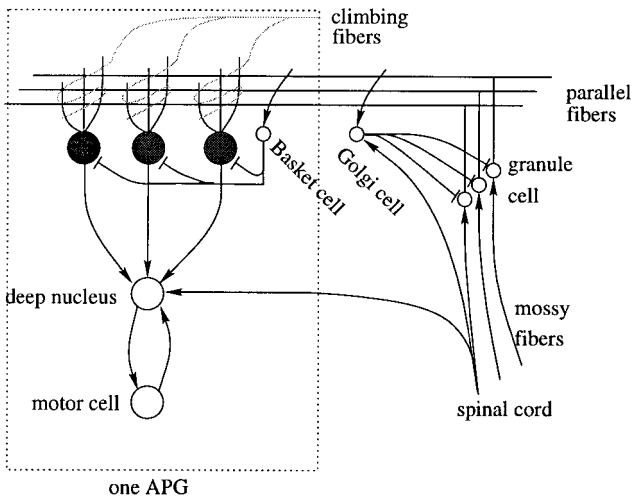


Figure 9. The structure of the adjustable pattern generator model of the cerebellum. An arrow indicates an excitatory connection, a termination (—) an inhibitory connection.

such models are extremely helpful in visuo-motor control, when pose estimation, trajectory formation and motor command generation have to be performed.

- (2) *Where could internal models be located in the brain?* Such models have been found experimentally in the cerebellum. For instance, analysis of cerebellar pc firing suggests that an inverse dynamics model of the eye musculature is used.
- (3) *How can internal models be acquired in the brain?* Kawato and Gomi (1992) have proposed their cerebellar feedback-error-learning model to solve this problem.

Furthermore, the fact that the newest part of the cerebellum is almost unique to humans, the assumption that it plays a key role in intelligence and self-observation, is supported. This supports the assumption that the cerebellum contains not only models of the motor apparatus, but also of tools, one's own brain, etc.

Although Kawato's model has been criticized since it lacks a mechanism to take time delays in the control loop (see Section 3.1.4) into account, this problem has been tackled through the work by Schweighofer (1995).

4.3.1. Learning motor programs. The key interest of course is solving the problem of how to learn a whole motor program with a cerebellar structure. There have been several attempts to tackle this problem

An important structure is the parallel-hierarchical feedback-error-learning model (Katayama & Kawato, 1991; Kawato & Gomi, 1992) shown in Figure 10 (cf. also Figure 2). It consists of three parts. The inverse static model (ISM) solves the posture equations when the system is at rest ($\dot{\theta} = 0$). The main role of the ISM is to control the equilibrium posture and mechanical stiffness (Hogan, 1984). Otherwise, the inverse dynamic model (IDM) takes over control. The output of the ISM and IDM is augmented with the feedback signal from the feedback controller (FC). This approach to control was previously suggested by Atkeson

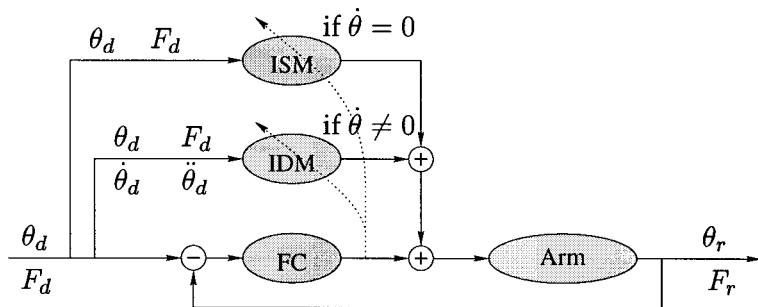


Figure 10. The parallel-hierarchical feedback-error-learning model (Katayama & Kawato, 1991; Kawato & Gomi, 1992).

and Hollerbach (1985). Although the ISM and IDM were initially feedforward NNs, the IDM is typically implemented in the form of a cerebellar model.

Fagg *et al.* (1997a) investigated the behavior of young infants when grasping an object. In contrast to adults, whose velocity profiles consist of a single peak and are approximately bell-shaped, their profiles consist of a sequence of peaks which define segments known as ‘movement units’ (von Hofsten, 1979). Their control system consists of a learning controller, which stores a feedforward motor program. A hardwired corrector module generates crude corrective movements when the learning controller does not produce accurate reaches. The output of the two modules is summed and passed to the muscles. Motor programs are represented in the form of a muscle activation pattern (the pulse) plus a time (the step).

This approach is similar to the feedback-error-learning model, since the system learns from an external controller. However, in contrast to the feedback-error-learning this system needs only sporadic feedback, and it does not need a high-quality trajectory to learn from.

Spoelstra *et al.* (1997) use the feedback-error-learning model as a basis for their fast movement controller. They complement the ISM/IDM system by adding a reflex feedback from the spinal cord, from which the IDM is trained. Using a simulated planar (two DOF) arm with McKibben muscles they demonstrate the superiority of their ISM/IDM system over PD control.

4.4. Other Models

Jabri *et al.* (1997) use a cerebellar model for sensorimotor integration and demonstrate their model on a Khepera miniature mobile robot. They follow up on the timing function of the cerebellum, and use a simple cerebellar model to predict infrared sensor readings. The single common feature that most cerebellar models have, they argue, is its predictive capabilities. Predictive motor commands are encoded in the nucleus cells, which are steered by the pc. The inhibitory inputs from the nucleus cells to the inferior olive may carry a delayed feedback of the predictions being established in the nucleus cells (Miall *et al.*, 1993).

A different adaptive control structure is given by the VITE-FLETE model of Bullock and Grossberg (1988a). The vector integration to endpoint (VITE), an improved version of which was proposed by Guadiano and Grossberg (1991), provides a simplified model of the cerebral cortex, and is basically a trajectory

generator. The factorization of muscle length and muscle tension (FLETE), modeling the spinal cord (Bullock & Grossberg, 1998b, 1989), is basically a model of the neuromuscular system. These models have been applied to mobile robot control (Aguilar & Contreras-Vidal, 1994; Gaudiano *et al.*, 1997), speech production (Gaudiano *et al.*, 1997), handwriting generation (Bullock *et al.*, 1993) and visually guided movements (Li & Ögmen, 1994).

Using a feedforward cerebellar model which computes inverse dynamics signals, Contreras-Vidal and co-workers (Contreras-Vidal *et al.*, 1997; Contreras-Vidal & Lopez-Coronado, 1997) extended the model to the control of opponent muscles. This model, combined with the cerebral cortex (VITE) and the spinal cord (FLETE), is proposed as a viable method to control the fingers of an artificial hand.

Various other models, some of which lack the detail to create a computational model, have been proposed (Berthier *et al.*, 1993; Buonomano & Mauk, 1994; De Schutter, 1997; Kawato & Gomi, 1992; Lisberger, 1994; Miall *et al.*, 1993; Paulin, 1989, 1993; Thach *et al.*, 1992). For an overview see Houk *et al.* (1996).

5. Discussion

Taking into account the fact that the new generation light-weight robots pose a challenge to traditional robot control methodologies, model-free adaptive control seems to be the way to go. Some early artificial cerebellar models have demonstrated their capabilities in robot control, and seem to be a viable approach to solve the problem. However, there is a large discrepancy between those early models and current-day understanding of the cerebellum. One important omission is the fact that the pc generate an inhibitory output; a fact for which many theories exist but the significance of which is not yet fully explained. Many other differences between the computational models and the cerebellum exist.

Newer, usually adapted, models have been proposed. Currently, however, most of these models are not capable of solving robot control tasks which are more complex than two DOF, and usually on simulated robot structures only. Another important problem is the existence of time delays: delays are present in the biological motor system as well as in robot structures, and therefore have to be taken into account in any joint servo-control method. Most cerebellar models, however, ignore this problem.

The development of computational cerebellar models would be greatly advanced if they could be compared on a set of realistic robot arm dynamics benchmarks at a functional level. This approach may shed more light on the applicability of such models to real-life problems. However, can these models compete with engineering solutions?

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Notes

1. It must be noted, however, that the dynamic properties of this skeletomuscular structure are highly superior to those of current-day robots.
2. A motor program is a sequence of muscle commands that can be executed without feedback, using the correct timing, e.g. speech, cycling, etc.
3. This experiment works as follows: a test 'person' (in this case, a mouse) is given a puff of air in the eye, before which a single tone is played. When the delay between the playing of the tone and the airpuff is constant, the test person learns to close the eyelid on hearing the tone, before the airpuff is administered. This reflex is known to be solved by the cerebellum.

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